

# CHRISTINA M. SAUTTER

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## ACADEMIC APPOINTMENTS & AFFILIATIONS

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### **Southern Methodist University (SMU) Dedman School of Law**, Dallas, Texas

*Professor of Law*, 2023 – present

Courses: Business Enterprise  
Mergers & Acquisitions

### **London School of Economics and Political Science (LSE) Law School**, London, UK

*Visiting Fellow*, forthcoming Fall 2024

### **Louisiana State University Paul M. Hebert Law Center**, Baton Rouge, Louisiana

*Cynthia Felder Fayard Professor of Law*, *Byron R. Kantrow Professor of Law*, and *Vinson & Elkins Professor of Law*, 2008 – 2023

Faculty Positions: *Vinson & Elkins Professorship* (2017 – 2023)  
*Professor of Law* (2014 – 2023)  
*Byron R. Kantrow Professorship* (2013 – 2023)  
*Cynthia Felder Fayard Professorship* (2011 – 2023)  
*Associate Professor of Law* (2011 – 2014)  
*Assistant Professor of Law* (2008 – 2011)

Courses: Business Associations I  
Business Associations II  
Mergers & Acquisitions  
Securities Regulation

### **Loyola University New Orleans College of Law**, New Orleans, Louisiana

*Westerfield Fellow*, 2006 – 2008

Courses: Legal Research & Writing  
Moot Court (Appellate Advocacy)  
Mergers & Acquisitions Seminar

## LEGAL EXPERIENCE

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### **Shearman & Sterling LLP**, New York, New York

*Associate, Mergers & Acquisitions Group*, 2003 – 2006

*Summer Associate, Capital Markets and Mergers & Acquisitions Groups*, Summer 2001

### **United States Court of Appeals for the Fourth Circuit**, Abingdon, Virginia

*Law Clerk to the late Honorable H. Emory Widener, Jr.*, 2002 – 2003

## PUBLICATIONS & RESEARCH ACTIVITIES

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### *Books*

[MERGERS AND ACQUISITIONS LAW \(HORNBOOK SERIES\) \(WEST ACADEMIC PUBLISHING 2018\) \(WITH FRANKLIN A. GEVURTZ\)](#) (This is part of West Academic Publishing's Hornbook series of single volume treatises).

### *Book Chapters & Contributions*

[Harnessing the Collective Power of Retail Investors](#), in *A RESEARCH AGENDA FOR CORPORATE LAW* (CHRISTOPHER M. BRUNER & MARC MOORE, EDs.) (EDWARD ELGAR PUBLISHING) (2023) (with Sergio Alberto Gramitto Ricci) (invited).

- Featured on [THE UNIVERSITY OF OXFORD, OXFORD BUSINESS LAW BLOG \(OBLB\)](#).
- Featured on [THE CLS BLUE SKY BLOG \(COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS\)](#).

[Rewritten Revlon, Inc. v. MacAndrews & Forbes Holdings, Inc.](#), in *FEMINIST JUDGMENTS: CORPORATE LAW REWRITTEN* (KELLI ALCES WILLIAMS, ANNE CHOIKE, & USHA R. RODRIGUES, EDs.) (CAMBRIDGE UNIVERSITY PRESS) (2023) (invited).

[Tender Offers and Disclosure: The History and Future of the Williams Act](#), in *RESEARCH HANDBOOK ON MERGERS AND ACQUISITIONS* (CLAIRE HILL & STEVEN DAVIDOFF SOLOMON, EDs.) (EDWARD ELGAR PUBLISHING) (2016) (invited).

### *Articles & Essays*

[Corporate Governance Through Social Media](#), *FLA. L. REV. FORUM* (forthcoming 2024) (invited).

[Wireless Investors & Apathy Obsolescence](#), 100 *WASH. U. L. REV.* 1653 (2023) (with Sergio Alberto Gramitto Ricci) (2023 Institute for Law and Economic Policy Symposium: *Regulating the Upheavals in the Securities Markets and Corporate Boardrooms*) (invited).

- Featured in [Public.com's 2023 Retail Investor Report](#).
- Featured on Italian CNBC.

[The Corporate Forum](#), 102 *B.U. L. REV.* 1861 (2022) (with Sergio Alberto Gramitto Ricci) (invited).

- Featured on [BUSINESS LAW PROF BLOG](#).

[The Educated Retail Investor: A Response to "Regulating Democratized Investing,"](#) 83 *OHIO ST. L.J. ONLINE* 205 (2022) (with Sergio Alberto Gramitto Ricci) (invited).

- Featured in [Public.com's 2023 Retail Investor Report](#).
- Featured on [BUSINESS LAW PROF BLOG](#).
- Featured on [THECORPORATECOUNSEL.NET BLOG](#).

[The Wireless Investors Movement](#), *U. CHI. BUS. L. REV.: ONLINE EDITION* (2022) (with Sergio Alberto Gramitto Ricci) (invited) (inaugural guest academic article).

- Featured in [FORBES](#).
- Featured on [THE FINREG BLOG, GLOBAL FINANCIAL MARKETS CENTER AT DUKE UNIVERSITY SCHOOL OF LAW](#).

[Corporate Governance Gaming: The Collective Power of Retail Investors](#), 22 *NEV. L.J.* 51 (2021) (with Sergio Alberto Gramitto Ricci).

- Featured on [BUSINESS LAW PROF BLOG](#).

- Featured in [FORBES, \*We Are All ‘stakeholderists’ Now: Looks Like The Debate Over The Purpose Of Corporations Might Be Settled\*](#) (Mar. 28, 2022, 2:54 pm EDT).
- Featured on [THE FINREG BLOG, GLOBAL FINANCIAL MARKETS CENTER AT DUKE UNIVERSITY SCHOOL OF LAW](#).
- Featured in [FORBES, \*Trump’s Social Media Platform To Merge With SPAC: An Insider’s Look At SPACs\*](#) (Oct. 23, 2021 01:26 pm EDT).
- Featured in [BUSINESS SCHOLARSHIP PODCAST](#).
- Featured on MACHINE LAWYERING BLOG OF THE CENTRE FOR FINANCIAL REGULATION AND ECONOMIC DEVELOPMENT AT THE CHINESE UNIVERSITY OF HONG KONG.
- Featured on [UNIVERSITY OF OXFORD, OXFORD BUSINESS LAW BLOG \(OBLB\)](#).
- Featured on [THE CLS BLUE SKY BLOG \(COLUMBIA LAW SCHOOL’S BLOG ON CORPORATIONS AND CAPITAL MARKETS\)](#).
- Featured on [BUSINESS LAW PROF BLOG](#).
- Featured on [THECORPORATECOUNSEL.NET BLOG](#).
- Featured on [CORPGOV.NET CORPORATE GOVERNANCE BLOG](#).
- Featured in [INTERNATIONAL TEAM FOR THE STUDY OF SECURITY \(ITSS\) – VERONA INTERVIEW](#).
- Featured in [NESTEGG](#).
- Featured in [THE MARKET HERALD](#).
- Featured in [AUSTRALIAN BUSINESS NEWS](#).

[Transaction Cost Economics & MAEs: The Dealmaker’s Crystal Ball](#), 89 FORDHAM L. REV. ONLINE 41 (2021) (invited).

[Delaware as Deal Arbiter](#), 77 WASH. & LEE L. REV. 1269 (2020) (prepared for Symposium: “2019–2020 Lara D. Gass Symposium, The Changing Role of Delaware in Corporate Governance” cancelled due to COVID-19) (invited).

[The Golden Ratio of Corporate Deal-Making](#), 41 J. CORP. L. 817 (2016).

- Featured on [THE CLS BLUE SKY BLOG \(COLUMBIA LAW SCHOOL’S BLOG ON CORPORATIONS AND CAPITAL MARKETS\)](#).

[Fleeing the Family Jewels](#), 90 TUL. L. REV. 545 (2016).

- Featured on [THE CLS BLUE SKY BLOG \(COLUMBIA LAW SCHOOL’S BLOG ON CORPORATIONS AND CAPITAL MARKETS\)](#).

[Auction Theory & Standstill Agreements: Dealing with Friends and Foes in a Sale of Corporate Control](#), 64 CASE W. RES. L. REV. 521 (2013).

- One of twelve papers selected out of over 60 blind submissions for presentation at the C-LEAF 2013 Junior Faculty Business and Financial Law Workshop sponsored by the George Washington University Law School.
- Cited in *Merion Capital L.P. and Merion Capital II L.P. v. Lender Processing Services, Inc.*, C.A. No. 9320-VCL, 2016 WL 7324170 (Del. Ch. Dec. 16, 2016).

[Lock-Up Creep](#), 38 J. CORP. L. 681 (2013) (with Steven M. Davidoff) (Symposium: “Ten Years After Omnicare: The Evolving Market for Deal Protection Devices”).

- Excerpted in JONATHAN R. MACEY & DOUGLAS K. MOLL, THE LAW OF BUSINESS ORGANIZATIONS: CASES, MATERIALS, AND PROBLEMS (14TH ED. 2020).
- Featured on the [HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE](#).
- Featured on [ADAMS ON CONTRACT DRAFTING](#).

[\*Promises Made to be Broken? Standstill Agreements in Change of Control Transactions\*](#), 37 DEL. J. CORP. L. 929 (2013).

- Quoted in *In re* Colombia Pipeline, Inc., 405 F. Supp. 3d 494 (S.D.N.Y. Sept. 26, 2019).
- Featured on the [HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE](#).

[\*Rethinking Contractual Limits on Fiduciary Duties\*](#), 38 FLA. ST. U. L. REV. 55 (2010).

[\*Shopping During Extended Store Hours: From No Shops to Go-Shops – the Development, Effectiveness, and Implications of Go-Shop Provisions in Change of Control Transactions\*](#), 73 BROOK. L. REV. 525 (2008).

- Excerpted in CLAIRE A. HILL, BRIAN JM QUINN, & STEVEN DAVIDOFF SOLOMON, *MERGERS AND ACQUISITIONS: LAW, THEORY, AND PRACTICE* 416 (2D ED. 2019).

### **Student Note**

Note, [\*Matter of Class: The Impact of Brown v. McLean on Employee Discharge Cases\*](#), 46 VILL. L. REV. 421 (2001).

### **Commentaries & OpEds**

[\*New Hollywood Blockbuster Depicts a Triumph for Savvy Retail Investors but It's Just the Beginning\*](#), FOX BUSINESS (Sept. 20, 2023) (with Sergio Alberto Gramitto Ricci) (OpEd).

### **Blog Posts**

[\*Millennials and GenZ'ers Have Only One Lifetime to Change the World with Their Investments\*](#), THE FINREG BLOG, GLOBAL FINANCIAL MARKETS CENTER AT DUKE UNIVERSITY SCHOOL OF LAW (September 23, 2022) (with Sergio Alberto Gramitto Ricci) (invited).

[\*How Retail Investing Improves Corporate Governance and Benefits Society\*](#), THE UNIVERSITY OF OXFORD, OXFORD BUSINESS LAW BLOG (OBLB) (September 15, 2022) (with Sergio Alberto Gramitto Ricci) (invited).

[\*How Retail Investing Improves Corporate Governance and Benefits Society\*](#), THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS) (August 31, 2022) (with Sergio Alberto Gramitto Ricci) (invited).

[\*Is the Debate on Shareholders and Stakeholders Obsolete?\*](#), THE FINREG BLOG, GLOBAL FINANCIAL MARKETS CENTER AT DUKE UNIVERSITY SCHOOL OF LAW (March 28, 2022) (with Anat Alon-Beck & Sergio Alberto Gramitto Ricci) (invited).

- Expanded into a [FORBES](#) article.

[\*WallStreetBets, GameStop, and the Rise of 'Wireless' ESG Retail Investors\*](#), MACHINE LAWYERING BLOG OF THE CENTRE FOR FINANCIAL REGULATION AND ECONOMIC DEVELOPMENT AT THE CHINESE UNIVERSITY OF HONG KONG (June 14, 2021) (with Sergio Alberto Gramitto Ricci) (invited).

[\*WallStreetBets, GameStop, and the Rise of ESG Retail Investors\*](#), THE UNIVERSITY OF OXFORD, OXFORD BUSINESS LAW BLOG (OBLB) (May 19, 2021) (with Sergio Alberto Gramitto Ricci) (invited).

[\*WallStreetBets, GameStop, and the Rise of ESG Retail Investors\*](#), THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS) (May 4, 2021) (with Sergio Alberto Gramitto Ricci) (invited).

[\*The Golden Ratio of Corporate Deal-Making\*](#), THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS) (August 3, 2016) (invited).

[\*Fleecing the Family Jewels\*](#), THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS) (September 10, 2015) (invited).

[\*Standstills in Change of Control Transactions\*](#), THE HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE (June 20, 2012). (invited).

### ***Works-in-Progress***

DOCUMENTING THE DEAL (WITH CARLISS N. CHATMAN) (WEST ACADEMIC PUBLISHING)

A SHORT & HAPPY GUIDE TO MERGERS & ACQUISITIONS (WEST ACADEMIC PUBLISHING)

*The Shareholder Democracy Lie* (with Sergio Alberto Gramitto Ricci and Daniel J.H. Greenwood)

*Corporate Colonization* (with Carliss N. Chatman)

*The Democratization of Private Markets* (with Colleen Baker)

*The CEO Cult*

### **EDUCATION**

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#### **Villanova University School of Law**

Juris Doctor, *summa cum laude*, 2002

Activities & Honors:     The Order of the Coif  
                                  *Villanova Law Review*, Volume 47, Managing Editor of Production  
                                  *Villanova Law Review*, Volume 46, Staff Writer

#### **Florida State University**

Bachelor of Science, *summa cum laude*, 1999

Double Major:           Multinational Business Operations & Marketing

Minor:                     Spanish

### **INTERVIEWS AND MEDIA APPEARANCES**

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Matt Levine, [\*Elon Says He Got His Money\*](#), BLOOMBERG (June 13, 2024, 12:52 PM CDT) (quoted).

Lora Kelley, [\*Elon Musk's Big Tesla Campaign\*](#), THE ATLANTIC DAILY (June 12, 2024 6:39 PM EST) (quoted).

Dana Hull, Jeff Feeley, & Bloomberg, [\*Tesla investors may vote to give Elon Musk his \\$56 billion payday, but that doesn't mean he'll get the money\*](#), FORTUNE (June 10, 2024) (quoted).

Dana Hull & Jeff Feeley, [\*Tesla's Investor Vote on Elon Musk's Is About More Than His Money\*](#), BLOOMBERG (June 10, 2024) (quoted).

Brandon Kochkodin, [\*Did Keith Gill, AKA Roaring Kitty and His GameStop Antics Violate Securities Laws?\*](#), FORBES (June 4, 2024) (quoted).

Hope King, [\*Tesla ramps up pay proposal push with retail\*](#), AXIOS (May 31, 2024) (quoted).

[Playing an economic guessing game](#), NPR MARKETPLACE (April 15, 2024) (interview).

Alexis Keenan, [Elon Musk wants to take away Delaware's incorporation crown. It won't be easy.](#), YAHOO!FINANCE (Feb. 14, 2024, 3:00 AM CST) (quoted).

Sujeet Indap, [Texas is throwing down a legal challenge to Delaware](#), FINANCIAL TIMES (Jan. 28, 2024) (quoted).

[How retail investor 'superpowers' can be sequel to "Dumb Money" film](#), SMU PERSPECTIVES PODCAST (Jan. 24, 2024) (interview).

Noah Kirsch, [Investors Got Creamed on Bed Bath & Beyond, But This Billionaire Cashed In](#), THE DAILY BEAST (April 24, 2023, 5:50 PM CDT) (quoted).

Meghan Tribe, [Sidley Life Sciences Venture Pays With \\$700 Million Practice](#), BLOOMBERG LAW (Oct. 24, 2022, 4:30 AM) (quoted).

Bailey Schulz, [Elon Musk isn't the first to walk away from a deal. Here's how similar cases have ended.](#), USA TODAY (July 18, 2022, 11:30 a.m. ET) (quoted).

[Meme Stocks and Retail Investors](#), GETTING COMMON VOICEAMERICA RADIO SHOW (March 30, 2022) (radio interview).

Anat Alon-Beck, [We Are All 'stakeholderists' Now: Looks Like The Debate Over The Purpose Of Corporations Might Be Settled](#), FORBES (Mar. 28, 2022, 2:54 pm EDT) (mentioned and scholarship featured).

Amanda Iacone & David Hood, [PwC Hunting for Tech M&A, Sees ESG as \\$1 Billion Opportunity](#), BLOOMBERG TAX (Nov. 19, 2021, 3:45 AM) (quoted).

Anat Alon-Beck, [Trump's Social Media Platform To Merge With SPAC: An Insider's Look At SPACs](#), FORBES (Oct. 23, 2021, 01:26 pm EDT) (mentioned and article featured).

Caitlin McCabe, [The Meme Lords Who Are Taking Over the C-Suite](#), WALL ST. J. (August 26, 2021) (quoted).

[Christina Sautter & Sergio Gramitto Ricci on Retail Investors](#), BUSINESS SCHOLARSHIP PODCAST, Episode 123 (Aug. 19, 2021) (interview).

[YouTube Interview](#), *Gamers Revolution*, with the Culture, Society, and Security Team of the International Team for the Study of Security (ITSS) – Verona (June 27, 2021) (interview).

Cameron Micallef, [How 'gamer investors' are pushing a new wave of social responsibility](#), NESTEGG (June 24, 2021) (quoted).

Rhys Prka, [New generations of retail investors to shift corporate focus towards sustainability](#), THE MARKET HERALD (June 23, 2021 13:52 AEST) (quoted).

## **INDUSTRY ENGAGEMENT**

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*Retail Investors & Societal Engagement*, UMKC School of Law (Kansas City, Missouri, May 16, 2024) (presentation on retail investors and corporate governance education).

[Predictive Data Analytics and the Law](#), Berkeley Boosts by Berkeley Law Executive Education (webinar, April 30, 2024) (panelist).

iconik, Year in Review 2023 (featured and quoted).

[IR triumphs of 2023: What it takes to win an IR Magazine Award – The Ticker 145](#), IR MAGAZINE THE TICKER PODCAST (December 20, 2023) (podcast).

[Exploring Collective Action in the Markets with Michael Levin and Christina Sautter](#), TROOP INSIGHTS (October 27, 2023) (featured).

iconik, October 2023 Update (featured).

[Exploring Activist Investing and Collective Action in the Markets](#), UNUSUAL WHALES PODCAST (September 12, 2023) (podcast).

Public.com, [The Retail Investor Report](#) (August 2023) (quoted).

[Next Gen: The Apathy Myth](#), Iconik Forum 2023, Center for Law and Business, Berkeley Law School, University of California (Berkeley, CA, July 10, 2023) (panelist).

[Introduction to ‘Wireless Investors’ 101 with Professors Christina Sautter and Sergio Alberto Gramitto Ricci](#), STAKEHOLDER LABS (April 20, 2023) (podcast).

*“Let’s Talk!”: Maximizing the Shareholder Relationship and the Benefits of Shareholder Engagement*, 2nd Annual Summit for Corporate Governance (co-hosted by Greenberg Traurig, LLP and UNLV William S. Boyd School of Law) (Las Vegas, NV, October 1, 2021) (panelist).

## **PUBLIC ENGAGEMENT: COMMENT LETTERS**

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Comment letter, with Sergio Alberto Gramitto Ricci, on SEC proposed rule “Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisors,” File No. S7-12-23, filed October 9, 2023 ([SSRN link](#)) ([SEC link](#))

- Featured in Stakeholder Labs, [Fintech Giants Prepare for New Regulatory Paradigm](#), THE ROUNDTABLE ROUNDUP (Oct. 11, 2023).

## **PRESENTATIONS, PANELS, & WORKSHOPS**

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### ***Paper Presentations, Panels, & Workshops (organized by Article/Contribution)***

#### *The Shareholder Democracy Lie*

- *Corporations-Where Have the Shareholders Gone?*, London School of Economics and Political Science (LSE) Law School (London, UK, *forthcoming* October 31, 2024) (paper presentation).
- *Conference on Institutional Resilience & Recovery*, World Interdisciplinary Network for Institutional Research (WINIR) Conference (University of Pittsburgh, Pittsburgh, PA, *forthcoming* September 19-21, 2024) (paper presentation).
- *Corporate Governance Discoveries and Innovations Workshop*, University of Coimbra (Coimbra, Portugal, July 8, 2024).
- *Corporate Governance and Reputation*, Bocconi University (Milan, Italy, July 5, 2024) (paper presentation).
- *Navigating Trends in Corporate Governance in a Globalized Economy: Intersections of Governance, Finance, and Society. A Transatlantic Dialogue*, The Max Weber Programme with

the Genoa Centre of Law and Finance (GCLF) and the Florence School of Banking and Finance (EUI, FBF) (Fiesole, Italy, July 1, 2024) (paper presentation).

- 2024 BYU Winter Deals Conference (Park City, UT, March 15, 2024) (paper presentation).
- *Tulane Corporate & Securities Law Roundtable*, Tulane University School of Law (New Orleans, LA, March 9, 2024) (paper presentation).
- *Law and Access to Wealth Creation Workshop*, Bocconi University (Milan, Italy, December 18, 2023) (paper presentation).
- *Corporate Law and Economics*, Italian Society of Law and Economics 2023 Annual Meeting (Brescia, Italy, December 14, 2023) (paper presentation).
- *New Corporate Governance within a Traditional Theoretical Framework*, World Interdisciplinary Network for Institutional Research (WINIR) Conference (Catania, Sicily, September 20, 2023) (paper presentation).

#### *Corporate Governance Through Social Media*

- *Law and Access to Wealth Creation Workshop*, Bocconi University (Milan, Italy, December 18, 2023) (paper presentation).

#### *The CEO Cult*

- *The Corporate Governance Landscape*, Bocconi University (Milan, Italy, July 6, 2023) (paper presentation).
- Bayou Scholars Workshop (Tulane University School of Law, New Orleans, LA, March 18, 2023) (presentation).
- *Corporations & Engendering Public Trust*, The Law and Society Association 2022 Annual Meeting (Lisbon, Portugal, July 2022) (organizer; discussion group participant).

#### *Wireless Investors & Apathy Obsolescence*

- 2023 BYU Winter Deals Conference (Park City, UT, March 10, 2023) (paper presentation).
- 2023 Institute for Law and Economic Policy Symposium (San Diego, CA, January 27, 2023) (invited symposium presentation).
- *Nature, Purpose and Mechanics of Business Corporations II*, World Interdisciplinary Network for Institutional Research (WINIR) Conference (virtual, September 9, 2022) (paper presentation).

#### *The Corporate Forum*

- SMU Dedman School of Law (December 13, 2022) (paper presentation).
- *Law and Business of the Contemporary Corporation*, University of Milan (Milan, Italy, July 11, 2022) (paper presentation).
- National Business Law Scholars Conference (Norman, OK, June 16, 2022) (paper presentation).
- University of Connecticut School of Law (Hartford, CT, April 28, 2022) (paper presentation).
- 2022 BYU Law Winter Deals Conference (Park City, UT, March 10, 2022) (paper presentation).
- *The Metamorphosing Landscape of Investor Capitalism*, Center for Advanced Studies on the Foundations of Law and Finance Workshop, Goethe Universität Frankfurt (virtual, December 14, 2021) (paper presentation).
- CorpGov.net (Corporate Governance) Corporate Accountability Group (virtual, November 15, 2021) (presentation).
- [\*New Frontiers of Shareholder Engagement\*](#), sponsored by ECGI, Bocconi University, and NYU (webinar, October 14, 2021) (paper presentation).

#### *Corporate Governance Gaming: The Collective Power of Retail Investors*

- SMU Dedman School of Law (virtual, January 19, 2022) (paper presentation).
- [\*A 21st Century Berle & Means Corporation? A Revolution in Retail Investing\*](#), Monash University Law School Centre for Commercial Law and Regulatory Studies (virtual, July 8, 2021) (panel participant).
- *Meme Stocks*, National Business Law Scholars Conference (virtual, June 18, 2021) (paper presentation).

- *Where Does Corporate Law Go Next?*, The Law and Society Association 2021 Annual Meeting (virtual, May 27, 2021) (discussion group participant).
- University of South Carolina School of Law Workshop Series (virtual, March 19, 2021) (paper presentation).

*Rewritten Revlon, Inc. v. MacAndrews & Forbes Holdings, Inc.*

- FEMINIST JUDGMENTS: REWRITTEN CORPORATE LAW Workshop (virtual, November 13, 2020) (presentation of rewritten opinion).

*One Corporation, Two Firms*

- 2020 BYU Law Winter Deals Conference (Park City, UT, March 6, 2020) (paper presentation).
- Corporate & Securities Litigation Workshop (Boston, MA, September 28, 2019) (paper presentation).
- Emerging Issues in Mergers, Acquisitions, and Corporate Representation, The Law and Society Association 2019 Annual Meeting (Washington, D.C., June 6, 2019) (paper presentation).
- 2019 BYU Law Winter Deals Conference (Park City, UT, March 8, 2019) (paper presentation).
- *Corporate Governance Discussion Group*, Annual Meeting of the Southeastern Association of Law Schools (Ft. Lauderdale, FL, August 10, 2018) (discussion group participant).

*The Golden Ratio of Corporate Deal-Making*

- Third Annual Workshop for Corporate & Securities Litigation (Boston, MA, October 3, 2015) (paper presentation).
- LSU Faculty Workshop Series (Baton Rouge, LA, March 11, 2015) (paper presentation).
- *Examining Market Actors*, The Law and Society Association 2014 Annual Meeting (Minneapolis, MN, May 31, 2014) (paper presentation).

*Fleeing the Family Jewels*

- National Business Law Scholars Conference (Columbus, OH, June 12, 2013) (paper presentation).

*Lock-Up Creep*

- *Journal of Corporation Law* Symposium - Ten Years After *Omnicare*: The Evolving Market for Deal Protection Devices (University of Iowa College of Law, Iowa City, IA, March 1, 2013) (invited symposium presentation).
- 2013 Northeast Regional Scholarship and Teaching Development Workshop (Albany, NY, February 3, 2013) (paper presentation).

*Auction Theory & Standstill Agreements: Dealing with Friends and Foes in a Sale of Corporate Control*

- *Recent Issues in M&A-related NDAs-Concerns for Everyone's Deals*, American Bar Association Business Law Section 2013 Spring Meeting (Washington, D.C., April 6, 2013) (panelist).
- Center for Law, Economics, and Finance (C-LEAF) Third Annual Junior Faculty Business and Financial Law Workshop (George Washington University Law School, Washington, D.C., April 5, 2013) (invited paper presentation based on blind selection process).
- American Association of Law Schools Annual Meeting (New Orleans, LA, January 2013) (poster presentation based on selection process).
- Annual Meeting of the Southeastern Association of Law Schools (Amelia Island, FL, August 2, 2012) (panel organizer & paper presentation).
- Central States Law Schools Association 2011 Annual Conference (Toledo, OH, October 29, 2011) (paper presentation of early draft).
- American Association of Law Schools Workshop on Women Rethinking Equality (Washington, D.C., June 22, 2011) (paper presentation of early draft).
- 2011 Midwest Corporate Law Scholars Conference (Columbus, OH, June 15, 2011) (paper presentation of early draft).

- Florida State University College of Law Workshop Series (Tallahassee, FL, September 30, 2010) (paper presentation of early draft).
- The Changing Face of Mergers and Acquisitions Panel, Annual Meeting of the Southeastern Association of Law Schools (Palm Beach, FL, August 2, 2010) (panel organizer & paper presentation of early draft).

*Promises Made to be Broken? Standstill Agreements in Change of Control Transactions*

- Louisiana Junior Faculty Forum (New Orleans, LA, November 4, 2011) (paper presentation).
- Central States Law Schools Association 2011 Annual Conference (Toledo, OH, October 29, 2011) (paper presentation of early draft).
- American Association of Law Schools Workshop on Women Rethinking Equality (Washington, D.C., June 22, 2011) (paper presentation of early draft).
- 2011 Midwest Corporate Law Scholars Conference (Columbus, OH, June 15, 2011) (paper presentation of early draft).
- Florida State University College of Law Workshop Series (Tallahassee, FL, September 30, 2010) (paper presentation of early draft).
- The Changing Face of Mergers and Acquisitions Panel, Annual Meeting of the Southeastern Association of Law Schools (Palm Beach, FL, August 2, 2010) (panel organizer & paper presentation of early draft).

*Rethinking Contractual Limits on Fiduciary Duties*

- New Scholars Colloquia for Business Law, Annual Meeting of the Southeastern Association of Law Schools (Palm Beach, FL, August 4, 2009) (paper presentation).

*Shopping During Extended Store Hours: From No Shops to Go-Shops – the Development, Effectiveness, and Implications of Go-Shop Provisions in Change of Control Transactions*

- Villanova University School of Law Faculty Workshop (Villanova, PA, September 28, 2007) (paper presentation).

***Business Law Speaking Engagements***

*Regulatory Birthday Party: The SEC at 90 and the CFTC at 50*, Annual Meeting of the Southeastern Association of Law Schools (Ft. Lauderdale, FL, *forthcoming* July 25, 2024) (roundtable participant).

*Teaching Numeracy*, Annual Meeting of the Southeastern Association of Law Schools (Ft. Lauderdale, FL, *forthcoming* July 24, 2024) (roundtable participant).

*Are Corporations and Financial Institutions Too Woke?*, Annual Meeting of the Southeastern Association of Law Schools (Ft. Lauderdale, FL, *forthcoming* July 21, 2024) (roundtable participant).

*Corporate Governance Discoveries and Innovations Workshop*, University of Coimbra (Coimbra, Portugal on July 8, 2024) (paper commentator for Professor Joan MacLeod Heminway's paper *ESG and Insider Trading: Legal and Practical Considerations*).

*Corporate Law at a Crossroad*, University of Wisconsin-Madison (Madison, WI, October 20, 2023) (paper commentator for Professor Geeyoung Min's paper, *Shareholder Proposals: Governance by Referendum*).

*Institutionalism & the Philosophy of Law III*, World Interdisciplinary Network for Institutional Research (WINIR) Conference (Catania, Sicily, September 21, 2023) (chair).

*Increasing Diversity in Corporate Governance*, The Law and Society Association 2023 Annual Meeting (San Juan, Puerto Rico, June 2, 2023) (organizer and discussion group participant).

*Corporate Governance*, The Law and Society Association 2023 Annual Meeting (San Juan, Puerto Rico, June 1, 2023) (chair).

[\*Rethinking Securities Law\*](#), SMU Dedman School of Law (virtual, September 28, 2022) (panelist commenting on Marc Steinberg's RETHINKING SECURITIES LAW book).

*Present and Future of Corporations in Society*, The Law and Society Association 2022 Annual Meeting (Lisbon, Portugal, July 16, 2022) (chair).

*Investors and Contemporary Corporations*, The Law and Society Association 2022 Annual Meeting (Lisbon, Portugal, July 16, 2022) (chair).

*The Corporate Form and Society*, Scuola Superiore Sant'Anna (Pisa, Italy, July 8, 2022) (discussant).

*Mergers & Acquisitions*, National Business Law Scholars Conference (Norman, OK, July 17, 2022) (commentator).

Author-Meets-Readers, CHRISTOPHER M. BRUNER, THE CORPORATION AS TECHNOLOGY: RE-CALIBRATING CORPORATE GOVERNANCE FOR A SUSTAINABLE FUTURE (Oxford University Press 2022), National Business Law Scholars Conference (Norman, OK, July 16, 2022) (commentator).

*"Contract Creep": The Legal Treatment of Business Entity Organic Documents as Contracts*, Annual Meeting of the Southeastern Association of Law Schools (Amelia Island, FL, July 30, 2021) (discussion group participant).

*AALS Section on Business Associations: New Voices in Business Law* (virtual, January 5, 2021) (paper commentator for Professor Paige Wilson's paper, *Midwest Venture Capital*).

*The Next Article*, Annual Meeting of the Southeastern Association of Law Schools (Boca Raton, FL, July 31, 2019) (discussion group discussant).

*Emerging Issues in Corporate Governance and Compliance*, The Law and Society Association 2019 Annual Meeting (Washington, D.C., May 31, 2019) (discussant).

*Mergers & Acquisitions as a Complex Contract Roundtable*, The Law and Society Association 2017 Annual Meeting (Mexico City, Mexico, June 22, 2017) (organizer; roundtable participant).

*Mergers & Acquisitions*, National Business Law Scholars Conference (Salt Lake City, UT, June 9, 2017) (moderator).

*What is the Role of Trust in the Marketplace?*, Annual Meeting of the Southeastern Association of Law Schools (Amelia Island, FL, August 7, 2014) (discussion group participant).

*Corporate Governance and the Locust of Power*, The Law and Society Association 2014 Annual Meeting (Minneapolis, MN, May 30, 2014) (discussant/paper commentator).

*Money & Markets*, The Law and Society Association 2013 Annual Meeting (Boston, MA, May 31, 2013) (discussant/paper commentator).

Emerging Legal Scholarship: The Teaching Fellows Inaugural Conference (New Orleans, LA, March 25, 2011) (panel moderator).

***Diversity, Equity, & Inclusion-Related Panels***

*Civil Discourse in the University Community: Talking About Race and Ethnicity*, LSU's Office of Diversity Chat and Chew Series (Baton Rouge, LA, November 29, 2016) (moderator and discussant).

*Civil Discourse in the Law Center Community: Talking About Gender*, LSU Law Center (Baton Rouge, LA, October 17, 2016) (panel moderator).

***Academic Life-Related Panels***

*Transactional Law and Finance: Challenges and Opportunities for Teaching and Research*, American Association of Law Schools 2019 Annual Meeting (New Orleans, LA, January 4, 2019) (moderator).

*Self-Promotion in the Mode of Abraham Lincoln-Not P.T. Barnum*, Annual Meeting of the Southeastern Association of Law Schools (Palm Beach, FL, August 8, 2013) (panelist).

*Navigating Academic Politics*, Junior Faculty Challenges Panel, Annual Meeting of the Southeastern Association of Law Schools (Hilton Head, SC, July 26, 2011) (panelist).

***Guest Teaching Appearances***

*Harnessing the Collective Power of Retail Investors*, Corporate Governance Seminar, University of Maryland (virtual, February 13, 2024).

*Harnessing the Collective Power of Retail Investors*, Corporate Governance Class, University of Arkansas School of Law (virtual, September 14, 2023).

*GameStop and the Rise of Retail Investors in the United States*, Legal English/Introduction to U.S. Corporate Law – Commercial Law Specialization 2021, Universidad de los Andes, Bogotá, Colombia (virtual, June 29, 2021).

*GameStop and Corporate Governance Gaming*, Business Organizations Class, UNLV William S. Boyd School of Law (virtual, April 26, 2021).

***Other Speaking Appearances***

The Clark Hill Endowed Speaker in Business Law, SMU Dedman School of Law - The Rowling Center for Business Law & Leadership (February 22, 2024) (interviewer).

***Continuing Legal Education***

*A Primer on Oil and Gas Interests as Securities under Federal and State Law*, Louisiana Mineral Law Institute (recorded webinar, September 11, 2020).

**ACADEMIC & OTHER PROFESSIONAL HONORS**

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Fellow, American Bar Foundation

**REFEREE ACTIVITY**

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*Yale Law Journal*

*Harvard Law Review*

*Jurimetrics, The Journal of Law, Science, and Technology*

**LAW SCHOOL AND UNIVERSITY SERVICE**

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***Service on Standing Faculty Committees at SMU Dedman School of Law***

Member, Endowed Lectures & Faculty Forum Committee (Fall 2023 – Spring 2024)

***Service on Ad Hoc Faculty Committees at SMU Dedman School of Law***

Member, ABA Assessment Committee (Fall 2023 – Spring 2024)

Member, Promotion & Tenure Subcommittees (Fall 2023 – Spring 2024)

***Other Institutional Service at SMU Dedman School of Law***

Faculty Advisory Board, Robert B. Rowling Center for Business Law & Leadership (Fall 2023 – present)

***Service on Standing Faculty Committees at Louisiana State University Paul M. Hebert Law Center***

Chair, Faculty Scholarship Committee (Fall 2022 – Spring 2023)

Chair, Faculty Appointments Committee (Summer 2021 – Spring 2022)

Chair, Executive Committee (Summer 2021 – Spring 2022) (elected)

Chair, Faculty Appointments & Adjuncts Committee (Summer 2020 – Spring 2021)

Co-Chair, Faculty Appointments Committee (Fall 2019 – Spring 2020)

Chair, Student Educational Policies Committee (Fall 2018 – Spring 2021; Fall 2014 – Spring 2015)

Chair, Diversity & Professionalism Committee (Fall 2015 – Spring 2017)

Chair, Legal Writing Program & Fellowships Committee (Chair, Fall 2010 – Spring 2014)

Member, Faculty Appointments Committee (Fall 2022 – Spring 2023; Fall 2015 – Spring 2017; Fall 2009 – Spring 2012)

Member, Faculty Scholarship Committee (Fall 2011 – Spring 2014)

Member, Promotion & Tenure Committee (Spring 2021 – Fall 2022) (elected 2-year term)

Member, Executive Committee (Summer 2020 – Spring 2021; Summer 2015 – Spring 2017) (elected 2-year terms)

Member, Student Educational Policies Committee (Fall 2012 – Spring 2013)

Member, Diversity & Professionalism Committee (Fall 2018 – Spring 2019)

Member, Legal Writing Program and Academic Success Committee (Fall 2014 – Spring 2015)

Member, Legal Writing Program & Fellowships Committee (Fall 2009 – Spring 2010)

Member, New Forms of Scholarship Committee (Fall 2009 – Spring 2010)

Member, Pro Bono & Student Activities Committee (Fall 2008 – Spring 2009)

Member, Southern Association of Colleges and Schools (SACS) Quality Enhancement Plan Committee (Fall 2012 – Spring 2014)

***Service on Special Committees & Working Groups at Louisiana State University Paul M. Hebert Law Center***

Member, Masking and Social Distancing Protocols Working Group (Summer 2020)

Member, Attendance Policy Working Group (Summer 2020)

Member, Promotion & Tenure Subcommittees (Fall 2021, Fall 2016)

Member, Diversity Task Force (Fall 2014 – Summer 2015)

Member, Ad Hoc Working Group: Legal Writing Program and Fellowships (Summer 2009)

***Service on ABA Self Study Long-Range Planning Subcommittees at Louisiana State University Paul M. Hebert Law Center***

Member, Strategic Planning Subcommittee for Information Resources, Equipment, Facilities, and Technology (Fall 2015 – Spring 2017)

Member, Legal Research & Writing Long Range Planning Subcommittee (Fall 2008 – Spring 2010)

Member, Clinical, Experiential Learning & Skills Long Range Planning Subcommittee (Fall 2008 – Spring 2010)

***Other Institutional Service at Louisiana State University Paul M. Hebert Law Center***

Faculty Advisor, Louisiana Business and Law Society (Fall 2021 – Spring 2023; Fall 2011 – Spring 2017)

Faculty Advisor, Jaffe Transactional Law Invitational (Spring 2023; Spring 2022; Spring 2021)

Faculty Liaison, Women’s Law Student Association (Fall 2021 – Spring 2022)

Faculty Advisor, Ruby R. Vale Interschool Corporate Moot Court Team (Spring 2012; Spring 2014)

LSU Representative to the Louisiana Junior Faculty Forum Planning Group (Fall 2009 – Spring 2014)

***Service at Louisiana State University***

Vice Chair, LSU Faculty Senate Faculty Adjudication Committee (2020 – 2022) (elected Vice Chair)

Member, LSU Faculty Senate Faculty Adjudication Committee (2019 – 2020) (elected)

Member, Academic Diversity Representatives Committee (Fall 2015 – Spring 2017)

**PROFESSIONAL SERVICE**

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American Association of Law Schools (AALS)

- AALS Section on Business Associations
  - Executive Committee (2022 – present)
- AALS Section on Transactional Law and Skills
  - Chair (2018); Chair-Elect (2017)
  - Executive Committee (2014 – 2018)
- AALS Section on Women in Legal Education
  - Executive Advisory Board (Spring 2013 – Spring 2015)
  - Mentoring Committee (Spring 2013 – Spring 2018)
  - Creating/Growing Regional Networks Subcommittee, Louisiana/Mississippi Subcommittee Chair (Spring 2013 – Spring 2015)

Southeastern Association of Law Schools (SEALS)

- Hospitality Committee (Fall 2013 – present)
- Website, Technology & Communications Committee (Chair, Fall 2019 – Summer 2023; Fall 2010 – Fall 2019)
- Prospective Law Teachers Workshop Committee (Fall 2013 – Fall 2019)

Daughters of Themis: International Network of Female Business Scholars (2016 – present)

Louisiana Law Institute, Corporations Committee (Summer 2021 – Spring 2023)

- Drafted a revised Limited Liability Company statute for Louisiana

Outside Reviewer for Promotion and Tenure Applicants and Faculty Awards

- University of Oklahoma School of Law 2023
- University of South Carolina School of Law 2023
- Washington & Lee University School of Law 2021
- University of California, Davis School of Law 2021
- Washington & Lee University School of Law 2020
- Florida State University School of Law 2016

**COMMUNITY INVOLVEMENT**

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Chair, LSU Press and *The Southern Review* Advisory Group (2022 – present)

Chair, Louisiana Appleseed Development Committee (2020 – 2023)

Member, Louisiana Appleseed Board of Directors (2019 – 2023)

Member, New Orleans Museum of Art, Young Fellows Steering Committee (Spring 2014 – Fall 2016)

**BAR ADMISSIONS**

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New York State Bar (admitted 2003)

United States Court of Appeals for the Fourth Circuit

**EXPERT WORK**

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Expert deposition or trial testimony during the previous four years:

*Continental Holdings, Inc. v. Ball Corporation et al.*, Case No. 2021CV30699, Jefferson County, Colorado (expert report filed February 6, 2023; deposition taken February 13, 2023; trial testimony December 6, 2023)

*Dated: July 18, 2024*