

# CHRISTINA M. SAUTTER

Professor of Law  
SMU Dedman School of Law  
3315 Daniel Avenue  
Dallas, Texas 75205  
christinasautter@smu.edu

[X/Twitter](#) | [SSRN](#) | [Bio](#)

## ACADEMIC APPOINTMENTS & AFFILIATIONS

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### **Southern Methodist University (SMU) Dedman School of Law**, Dallas, Texas

*Professor of Law*, 2023 – present

Courses: Business Enterprise  
Mergers & Acquisitions

### **London School of Economics and Political Science (LSE) Law School**, London, UK

*Visiting Fellow*, forthcoming September 2024 – December 2024

### **Louisiana State University Paul M. Hebert Law Center**, Baton Rouge, Louisiana

*Cynthia Felder Fayard Professor of Law*, *Byron R. Kantrow Professor of Law*, and *Vinson & Elkins Professor of Law*, 2008 – 2023

Faculty Positions: *Vinson & Elkins Professorship* (2017 – 2023)  
*Professor of Law* (2014 – 2023)  
*Byron R. Kantrow Professorship* (2013 – 2023)  
*Cynthia Felder Fayard Professorship* (2011 – 2023)  
*Associate Professor of Law* (2011 – 2014)  
*Assistant Professor of Law* (2008 – 2011)

Courses: Business Associations I  
Business Associations II  
Mergers & Acquisitions  
Securities Regulation

### **Loyola University New Orleans College of Law**, New Orleans, Louisiana

*Westerfield Fellow*, 2006 – 2008

Courses: Legal Research & Writing  
Moot Court (Appellate Advocacy)  
Mergers & Acquisitions Seminar

## LEGAL EXPERIENCE

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### **Shearman & Sterling LLP**, New York, New York

*Associate, Mergers & Acquisitions Group*, 2003 – 2006

*Summer Associate, Capital Markets and Mergers & Acquisitions Groups*, Summer 2001

### **United States Court of Appeals for the Fourth Circuit**, Abingdon, Virginia

*Law Clerk to the late Honorable H. Emory Widener, Jr.*, 2002 – 2003

## PUBLICATIONS & RESEARCH ACTIVITIES

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### *Books*

[MERGERS AND ACQUISITIONS LAW \(HORNBOOK SERIES\) \(WEST ACADEMIC PUBLISHING 2018\) \(WITH FRANKLIN A. GEVURTZ\)](#) (This is part of West Academic Publishing's Hornbook series of single volume treatises).

### *Book Chapters & Contributions*

[Harnessing the Collective Power of Retail Investors](#), in *A RESEARCH AGENDA FOR CORPORATE LAW* (CHRISTOPHER M. BRUNER & MARC MOORE, EDs.) (EDWARD ELGAR PUBLISHING) (2023) (with Sergio Alberto Gramitto Ricci) (invited).

- Featured on [THE UNIVERSITY OF OXFORD, OXFORD BUSINESS LAW BLOG \(OBLB\)](#).
- Featured on [THE CLS BLUE SKY BLOG \(COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS\)](#).

[Rewritten Revlon, Inc. v. MacAndrews & Forbes Holdings, Inc.](#), in *FEMINIST JUDGMENTS: CORPORATE LAW REWRITTEN* (KELLI ALCES WILLIAMS, ANNE CHOIKE, & USHA R. RODRIGUES, EDs.) (CAMBRIDGE UNIVERSITY PRESS) (2023) (invited).

[Tender Offers and Disclosure: The History and Future of the Williams Act](#), in *RESEARCH HANDBOOK ON MERGERS AND ACQUISITIONS* (CLAIRE HILL & STEVEN DAVIDOFF SOLOMON, EDs.) (EDWARD ELGAR PUBLISHING) (2016) (invited).

### *Articles & Essays*

[Corporate Governance Through Social Media](#), *FLA. L. REV. FORUM* (forthcoming 2024) (invited).

[Wireless Investors & Apathy Obsolescence](#), 100 *WASH. U. L. REV.* 1653 (2023) (with Sergio Alberto Gramitto Ricci) (2023 Institute for Law and Economic Policy Symposium: *Regulating the Upheavals in the Securities Markets and Corporate Boardrooms*) (invited).

- Featured in [Public.com's 2023 Retail Investor Report](#).
- Featured on Italian CNBC.

[The Corporate Forum](#), 102 *B.U. L. REV.* 1861 (2022) (with Sergio Alberto Gramitto Ricci) (invited).

- Featured on [BUSINESS LAW PROF BLOG](#).

[The Educated Retail Investor: A Response to "Regulating Democratized Investing,"](#) 83 *OHIO ST. L.J. ONLINE* 205 (2022) (with Sergio Alberto Gramitto Ricci) (invited).

- Featured in [Public.com's 2023 Retail Investor Report](#).
- Featured on [BUSINESS LAW PROF BLOG](#).
- Featured on [THECORPORATECOUNSEL.NET BLOG](#).

[The Wireless Investors Movement](#), *U. CHI. BUS. L. REV.: ONLINE EDITION* (2022) (with Sergio Alberto Gramitto Ricci) (invited) (inaugural guest academic article).

- Featured in [FORBES](#).
- Featured on [THE FINREG BLOG, GLOBAL FINANCIAL MARKETS CENTER AT DUKE UNIVERSITY SCHOOL OF LAW](#).

[Corporate Governance Gaming: The Collective Power of Retail Investors](#), 22 *NEV. L.J.* 51 (2021) (with Sergio Alberto Gramitto Ricci).

- Featured on [BUSINESS LAW PROF BLOG](#).

- Featured in [FORBES, \*We Are All ‘stakeholderists’ Now: Looks Like The Debate Over The Purpose Of Corporations Might Be Settled\*](#) (Mar. 28, 2022, 2:54 pm EDT).
- Featured on [THE FINREG BLOG, GLOBAL FINANCIAL MARKETS CENTER AT DUKE UNIVERSITY SCHOOL OF LAW](#).
- Featured in [FORBES, \*Trump’s Social Media Platform To Merge With SPAC: An Insider’s Look At SPACs\*](#) (Oct. 23, 2021 01:26 pm EDT).
- Featured in [BUSINESS SCHOLARSHIP PODCAST](#).
- Featured on MACHINE LAWYERING BLOG OF THE CENTRE FOR FINANCIAL REGULATION AND ECONOMIC DEVELOPMENT AT THE CHINESE UNIVERSITY OF HONG KONG.
- Featured on [UNIVERSITY OF OXFORD, OXFORD BUSINESS LAW BLOG \(OBLB\)](#).
- Featured on [THE CLS BLUE SKY BLOG \(COLUMBIA LAW SCHOOL’S BLOG ON CORPORATIONS AND CAPITAL MARKETS\)](#).
- Featured on [BUSINESS LAW PROF BLOG](#).
- Featured on [THECORPORATECOUNSEL.NET BLOG](#).
- Featured on [CORPGOV.NET CORPORATE GOVERNANCE BLOG](#).
- Featured in [INTERNATIONAL TEAM FOR THE STUDY OF SECURITY \(ITSS\) – VERONA INTERVIEW](#).
- Featured in [NESTEGG](#).
- Featured in [THE MARKET HERALD](#).
- Featured in [AUSTRALIAN BUSINESS NEWS](#).

[Transaction Cost Economics & MAEs: The Dealmaker’s Crystal Ball](#), 89 FORDHAM L. REV. ONLINE 41 (2021) (invited).

[Delaware as Deal Arbiter](#), 77 WASH. & LEE L. REV. 1269 (2020) (prepared for Symposium: “2019–2020 Lara D. Gass Symposium, The Changing Role of Delaware in Corporate Governance” cancelled due to COVID-19) (invited).

[The Golden Ratio of Corporate Deal-Making](#), 41 J. CORP. L. 817 (2016).

- Featured on [THE CLS BLUE SKY BLOG \(COLUMBIA LAW SCHOOL’S BLOG ON CORPORATIONS AND CAPITAL MARKETS\)](#).

[Fleeing the Family Jewels](#), 90 TUL. L. REV. 545 (2016).

- Featured on [THE CLS BLUE SKY BLOG \(COLUMBIA LAW SCHOOL’S BLOG ON CORPORATIONS AND CAPITAL MARKETS\)](#).

[Auction Theory & Standstill Agreements: Dealing with Friends and Foes in a Sale of Corporate Control](#), 64 CASE W. RES. L. REV. 521 (2013).

- One of twelve papers selected out of over 60 blind submissions for presentation at the C-LEAF 2013 Junior Faculty Business and Financial Law Workshop sponsored by the George Washington University Law School.
- Cited in *Merion Capital L.P. and Merion Capital II L.P. v. Lender Processing Services, Inc.*, C.A. No. 9320-VCL, 2016 WL 7324170 (Del. Ch. Dec. 16, 2016).

[Lock-Up Creep](#), 38 J. CORP. L. 681 (2013) (with Steven M. Davidoff) (Symposium: “Ten Years After Omnicare: The Evolving Market for Deal Protection Devices”).

- Excerpted in JONATHAN R. MACEY & DOUGLAS K. MOLL, THE LAW OF BUSINESS ORGANIZATIONS: CASES, MATERIALS, AND PROBLEMS (14TH ED. 2020).
- Featured on the [HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE](#).
- Featured on [ADAMS ON CONTRACT DRAFTING](#).

[\*Promises Made to be Broken? Standstill Agreements in Change of Control Transactions\*](#), 37 DEL. J. CORP. L. 929 (2013).

- Quoted in *In re* Colombia Pipeline, Inc., 405 F. Supp. 3d 494 (S.D.N.Y. Sept. 26, 2019).
- Featured on the [HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE](#).

[\*Rethinking Contractual Limits on Fiduciary Duties\*](#), 38 FLA. ST. U. L. REV. 55 (2010).

[\*Shopping During Extended Store Hours: From No Shops to Go-Shops – the Development, Effectiveness, and Implications of Go-Shop Provisions in Change of Control Transactions\*](#), 73 BROOK. L. REV. 525 (2008).

- Excerpted in CLAIRE A. HILL, BRIAN JM QUINN, & STEVEN DAVIDOFF SOLOMON, *MERGERS AND ACQUISITIONS: LAW, THEORY, AND PRACTICE* 416 (2D ED. 2019).

### **Student Note**

Note, [\*Matter of Class: The Impact of Brown v. McLean on Employee Discharge Cases\*](#), 46 VILL. L. REV. 421 (2001).

### **Commentaries & OpEds**

[\*New Hollywood Blockbuster Depicts a Triumph for Savvy Retail Investors but It's Just the Beginning\*](#), FOX BUSINESS (Sept. 20, 2023) (with Sergio Alberto Gramitto Ricci) (OpEd).

### **Blog Posts**

[\*Millennials and GenZ'ers Have Only One Lifetime to Change the World with Their Investments\*](#), THE FINREG BLOG, GLOBAL FINANCIAL MARKETS CENTER AT DUKE UNIVERSITY SCHOOL OF LAW (September 23, 2022) (with Sergio Alberto Gramitto Ricci) (invited).

[\*How Retail Investing Improves Corporate Governance and Benefits Society\*](#), THE UNIVERSITY OF OXFORD, OXFORD BUSINESS LAW BLOG (OBLB) (September 15, 2022) (with Sergio Alberto Gramitto Ricci) (invited).

[\*How Retail Investing Improves Corporate Governance and Benefits Society\*](#), THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS) (August 31, 2022) (with Sergio Alberto Gramitto Ricci) (invited).

[\*Is the Debate on Shareholders and Stakeholders Obsolete?\*](#), THE FINREG BLOG, GLOBAL FINANCIAL MARKETS CENTER AT DUKE UNIVERSITY SCHOOL OF LAW (March 28, 2022) (with Anat Alon-Beck & Sergio Alberto Gramitto Ricci) (invited).

- Expanded into a [FORBES](#) article.

[\*WallStreetBets, GameStop, and the Rise of 'Wireless' ESG Retail Investors\*](#), MACHINE LAWYERING BLOG OF THE CENTRE FOR FINANCIAL REGULATION AND ECONOMIC DEVELOPMENT AT THE CHINESE UNIVERSITY OF HONG KONG (June 14, 2021) (with Sergio Alberto Gramitto Ricci) (invited).

[\*WallStreetBets, GameStop, and the Rise of ESG Retail Investors\*](#), THE UNIVERSITY OF OXFORD, OXFORD BUSINESS LAW BLOG (OBLB) (May 19, 2021) (with Sergio Alberto Gramitto Ricci) (invited).

[\*WallStreetBets, GameStop, and the Rise of ESG Retail Investors\*](#), THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS) (May 4, 2021) (with Sergio Alberto Gramitto Ricci) (invited).

[The Golden Ratio of Corporate Deal-Making](#), THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS) (August 3, 2016) (invited).

[Fleecing the Family Jewels](#), THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS) (September 10, 2015) (invited).

[Standstills in Change of Control Transactions](#), THE HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE (June 20, 2012). (invited).

### ***Works-in-Progress***

DOCUMENTING THE DEAL (WITH CARLISS N. CHATMAN) (WEST ACADEMIC PUBLISHING)

A SHORT & HAPPY GUIDE TO MERGERS & ACQUISITIONS (WEST ACADEMIC PUBLISHING)

*The Shareholder Democracy Failure* (with Sergio Alberto Gramitto Ricci and Daniel J.H. Greenwood)

*Corporate Colonization* (with Carliss N. Chatman)

*The Democratization of Private Markets* (with Colleen Baker)

*The CEO Cult*

### **EDUCATION**

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#### **Villanova University School of Law**

Juris Doctor, *summa cum laude*, 2002

Activities & Honors:     The Order of the Coif  
                                  *Villanova Law Review*, Volume 47, Managing Editor of Production  
                                  *Villanova Law Review*, Volume 46, Staff Writer

#### **Florida State University**

Bachelor of Science, *summa cum laude*, 1999

Double Major:           Multinational Business Operations & Marketing

Minor:                    Spanish

### **INTERVIEWS AND MEDIA APPEARANCES**

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Matt Levine, [Elon Says He Got His Money](#), BLOOMBERG (June 13, 2024, 12:52 PM CDT) (quoted).

Lora Kelley, [Elon Musk's Big Tesla Campaign](#), THE ATLANTIC DAILY (June 12, 2024 6:39 PM EST) (quoted).

Dana Hull, Jeff Feeley, & Bloomberg, [Tesla investors may vote to give Elon Musk his \\$56 billion payday, but that doesn't mean he'll get the money](#), FORTUNE (June 10, 2024) (quoted).

Dana Hull & Jeff Feeley, [Tesla's Investor Vote on Elon Musk's Is About More Than His Money](#), BLOOMBERG (June 10, 2024) (quoted).

Brandon Kochkodin, [Did Keith Gill, AKA Roaring Kitty and His GameStop Antics Violate Securities Laws?](#), FORBES (June 4, 2024) (quoted).

Hope King, [Tesla ramps up pay proposal push with retail](#), AXIOS (May 31, 2024) (quoted).

[Playing an economic guessing game](#), NPR MARKETPLACE (April 15, 2024) (interview).

Alexis Keenan, [Elon Musk wants to take away Delaware's incorporation crown. It won't be easy.](#), YAHOO!FINANCE (Feb. 14, 2024, 3:00 AM CST) (quoted).

Sujeet Indap, [Texas is throwing down a legal challenge to Delaware](#), FINANCIAL TIMES (Jan. 28, 2024) (quoted).

[How retail investor 'superpowers' can be sequel to "Dumb Money" film](#), SMU PERSPECTIVES PODCAST (Jan. 24, 2024) (interview).

Noah Kirsch, [Investors Got Creamed on Bed Bath & Beyond, But This Billionaire Cashed In](#), THE DAILY BEAST (April 24, 2023, 5:50 PM CDT) (quoted).

Meghan Tribe, [Sidley Life Sciences Venture Pays With \\$700 Million Practice](#), BLOOMBERG LAW (Oct. 24, 2022, 4:30 AM) (quoted).

Bailey Schulz, [Elon Musk isn't the first to walk away from a deal. Here's how similar cases have ended.](#), USA TODAY (July 18, 2022, 11:30 a.m. ET) (quoted).

[Meme Stocks and Retail Investors](#), GETTING COMMON VOICEAMERICA RADIO SHOW (March 30, 2022) (radio interview).

Anat Alon-Beck, [We Are All 'stakeholderists' Now: Looks Like The Debate Over The Purpose Of Corporations Might Be Settled](#), FORBES (Mar. 28, 2022, 2:54 pm EDT) (mentioned and scholarship featured).

Amanda Iacone & David Hood, [PwC Hunting for Tech M&A, Sees ESG as \\$1 Billion Opportunity](#), BLOOMBERG TAX (Nov. 19, 2021, 3:45 AM) (quoted).

Anat Alon-Beck, [Trump's Social Media Platform To Merge With SPAC: An Insider's Look At SPACs](#), FORBES (Oct. 23, 2021, 01:26 pm EDT) (mentioned and article featured).

Caitlin McCabe, [The Meme Lords Who Are Taking Over the C-Suite](#), WALL ST. J. (August 26, 2021) (quoted).

[Christina Sautter & Sergio Gramitto Ricci on Retail Investors](#), BUSINESS SCHOLARSHIP PODCAST, Episode 123 (Aug. 19, 2021) (interview).

[YouTube Interview](#), *Gamers Revolution*, with the Culture, Society, and Security Team of the International Team for the Study of Security (ITSS) – Verona (June 27, 2021) (interview).

Cameron Micallef, [How 'gamer investors' are pushing a new wave of social responsibility](#), NESTEGG (June 24, 2021) (quoted).

Rhys Prka, [New generations of retail investors to shift corporate focus towards sustainability](#), THE MARKET HERALD (June 23, 2021 13:52 AEST) (quoted).

## **INDUSTRY ENGAGEMENT**

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*Retail Investors & Societal Engagement*, UMKC School of Law (Kansas City, Missouri, May 16, 2024) (presentation on retail investors and corporate governance education).

[Predictive Data Analytics and the Law](#), Berkeley Boosts by Berkeley Law Executive Education (webinar, April 30, 2024) (panelist).

iconik, Year in Review 2023 (featured and quoted).

[IR triumphs of 2023: What it takes to win an IR Magazine Award – The Ticker 145](#), IR MAGAZINE THE TICKER PODCAST (December 20, 2023) (podcast).

[Exploring Collective Action in the Markets with Michael Levin and Christina Sautter](#), TROOP INSIGHTS (October 27, 2023) (featured).

iconik, October 2023 Update (featured).

[Exploring Activist Investing and Collective Action in the Markets](#), UNUSUAL WHALES PODCAST (September 12, 2023) (podcast).

Public.com, [The Retail Investor Report](#) (August 2023) (quoted).

[Next Gen: The Apathy Myth](#), Iconik Forum 2023, Center for Law and Business, Berkeley Law School, University of California (Berkeley, CA, July 10, 2023) (panelist).

[Introduction to ‘Wireless Investors’ 101 with Professors Christina Sautter and Sergio Alberto Gramitto Ricci](#), STAKEHOLDER LABS (April 20, 2023) (podcast).

“Let’s Talk!”: *Maximizing the Shareholder Relationship and the Benefits of Shareholder Engagement*, 2nd Annual Summit for Corporate Governance (co-hosted by Greenberg Traurig, LLP and UNLV William S. Boyd School of Law) (Las Vegas, NV, October 1, 2021) (panelist).

## **PUBLIC ENGAGEMENT: COMMENT LETTERS**

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Comment letter, with Sergio Alberto Gramitto Ricci, on SEC proposed rule “Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisors,” File No. S7-12-23, filed October 9, 2023 ([SSRN link](#)) ([SEC link](#))

- Featured in Stakeholder Labs, [Fintech Giants Prepare for New Regulatory Paradigm](#), THE ROUNDTABLE ROUNDUP (Oct. 11, 2023).

## **PRESENTATIONS, PANELS, & WORKSHOPS**

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### ***Paper Presentations, Panels, & Workshops (organized by Article/Contribution)***

#### *The Shareholder Democracy Failure*

- *Corporate Governance Discoveries and Innovations Workshop*, University of Coimbra (Coimbra, Portugal, forthcoming July 8, 2024).
- *Corporate Governance and Reputation*, Bocconi University (Milan, Italy, forthcoming July 5, 2024) (paper presentation).
- *Navigating Trends in Corporate Governance in a Globalized Economy: Intersections of Governance, Finance, and Society. A Transatlantic Dialogue*, The Max Weber Programme with the Genoa Centre of Law and Finance (GCLF) and the Florence School of Banking and Finance (EUI, FBF) (Fiesole, Italy, forthcoming July 1, 2024) (paper presentation).
- 2024 BYU Winter Deals Conference (Park City, UT, March 15, 2024) (paper presentation).
- *Tulane Corporate & Securities Law Roundtable*, Tulane University School of Law (New Orleans, LA, March 9, 2024) (paper presentation).

- *Law and Access to Wealth Creation Workshop*, Bocconi University (Milan, Italy, December 18, 2023) (paper presentation).
- *Corporate Law and Economics*, Italian Society of Law and Economics 2023 Annual Meeting (Brescia, Italy, December 14, 2023) (paper presentation).
- *New Corporate Governance within a Traditional Theoretical Framework*, World Interdisciplinary Network for Institutional Research (WINIR) Conference (Catania, Sicily, September 20, 2023) (paper presentation).

#### *Corporate Governance Through Social Media*

- *Law and Access to Wealth Creation Workshop*, Bocconi University (Milan, Italy, December 18, 2023) (paper presentation).

#### *The CEO Cult*

- *The Corporate Governance Landscape*, Bocconi University (Milan, Italy, July 6, 2023) (paper presentation).
- Bayou Scholars Workshop (Tulane University School of Law, New Orleans, LA, March 18, 2023) (presentation).
- *Corporations & Engendering Public Trust*, The Law and Society Association 2022 Annual Meeting (Lisbon, Portugal, July 2022) (organizer; discussion group participant).

#### *Wireless Investors & Apathy Obsolescence*

- 2023 BYU Winter Deals Conference (Park City, UT, March 10, 2023) (paper presentation).
- 2023 Institute for Law and Economic Policy Symposium (San Diego, CA, January 27, 2023) (invited symposium presentation).
- *Nature, Purpose and Mechanics of Business Corporations II*, World Interdisciplinary Network for Institutional Research (WINIR) Conference (virtual, September 9, 2022) (paper presentation).

#### *The Corporate Forum*

- SMU Dedman School of Law (December 13, 2022) (paper presentation).
- *Law and Business of the Contemporary Corporation*, University of Milan (Milan, Italy, July 11, 2022) (paper presentation).
- National Business Law Scholars Conference (Norman, OK, June 16, 2022) (paper presentation).
- University of Connecticut School of Law (Hartford, CT, April 28, 2022) (paper presentation).
- 2022 BYU Law Winter Deals Conference (Park City, UT, March 10, 2022) (paper presentation).
- *The Metamorphosing Landscape of Investor Capitalism*, Center for Advanced Studies on the Foundations of Law and Finance Workshop, Goethe Universität Frankfurt (virtual, December 14, 2021) (paper presentation).
- CorpGov.net (Corporate Governance) Corporate Accountability Group (virtual, November 15, 2021) (presentation).
- [\*New Frontiers of Shareholder Engagement\*](#), sponsored by ECGI, Bocconi University, and NYU (webinar, October 14, 2021) (paper presentation).

#### *Corporate Governance Gaming: The Collective Power of Retail Investors*

- SMU Dedman School of Law (virtual, January 19, 2022) (paper presentation).
- [\*A 21st Century Berle & Means Corporation? A Revolution in Retail Investing\*](#), Monash University Law School Centre for Commercial Law and Regulatory Studies (virtual, July 8, 2021) (panel participant).
- *Meme Stocks*, National Business Law Scholars Conference (virtual, June 18, 2021) (paper presentation).
- *Where Does Corporate Law Go Next?*, The Law and Society Association 2021 Annual Meeting (virtual, May 27, 2021) (discussion group participant).
- University of South Carolina School of Law Workshop Series (virtual, March 19, 2021) (paper presentation).



*Rewritten Revlon, Inc. v. MacAndrews & Forbes Holdings, Inc.*

- FEMINIST JUDGMENTS: REWRITTEN CORPORATE LAW Workshop (virtual, November 13, 2020) (presentation of rewritten opinion).

*One Corporation, Two Firms*

- 2020 BYU Law Winter Deals Conference (Park City, UT, March 6, 2020) (paper presentation).
- Corporate & Securities Litigation Workshop (Boston, MA, September 28, 2019) (paper presentation).
- Emerging Issues in Mergers, Acquisitions, and Corporate Representation, The Law and Society Association 2019 Annual Meeting (Washington, D.C., June 6, 2019) (paper presentation).
- 2019 BYU Law Winter Deals Conference (Park City, UT, March 8, 2019) (paper presentation).
- *Corporate Governance Discussion Group*, Annual Meeting of the Southeastern Association of Law Schools (Ft. Lauderdale, FL, August 10, 2018) (discussion group participant).

*The Golden Ratio of Corporate Deal-Making*

- Third Annual Workshop for Corporate & Securities Litigation (Boston, MA, October 3, 2015) (paper presentation).
- LSU Faculty Workshop Series (Baton Rouge, LA, March 11, 2015) (paper presentation).
- *Examining Market Actors*, The Law and Society Association 2014 Annual Meeting (Minneapolis, MN, May 31, 2014) (paper presentation).

*Fleeing the Family Jewels*

- National Business Law Scholars Conference (Columbus, OH, June 12, 2013) (paper presentation).

*Lock-Up Creep*

- *Journal of Corporation Law* Symposium - Ten Years After *Omnicare*: The Evolving Market for Deal Protection Devices (University of Iowa College of Law, Iowa City, IA, March 1, 2013) (invited symposium presentation).
- 2013 Northeast Regional Scholarship and Teaching Development Workshop (Albany, NY, February 3, 2013) (paper presentation).

*Auction Theory & Standstill Agreements: Dealing with Friends and Foes in a Sale of Corporate Control*

- *Recent Issues in M&A-related NDAs—Concerns for Everyone’s Deals*, American Bar Association Business Law Section 2013 Spring Meeting (Washington, D.C., April 6, 2013) (panelist).
- Center for Law, Economics, and Finance (C-LEAF) Third Annual Junior Faculty Business and Financial Law Workshop (George Washington University Law School, Washington, D.C., April 5, 2013) (invited paper presentation based on blind selection process).
- American Association of Law Schools Annual Meeting (New Orleans, LA, January 2013) (poster presentation based on selection process).
- Annual Meeting of the Southeastern Association of Law Schools (Amelia Island, FL, August 2, 2012) (panel organizer & paper presentation).
- Central States Law Schools Association 2011 Annual Conference (Toledo, OH, October 29, 2011) (paper presentation of early draft).
- American Association of Law Schools Workshop on Women Rethinking Equality (Washington, D.C., June 22, 2011) (paper presentation of early draft).
- 2011 Midwest Corporate Law Scholars Conference (Columbus, OH, June 15, 2011) (paper presentation of early draft).
- Florida State University College of Law Workshop Series (Tallahassee, FL, September 30, 2010) (paper presentation of early draft).
- The Changing Face of Mergers and Acquisitions Panel, Annual Meeting of the Southeastern Association of Law Schools (Palm Beach, FL, August 2, 2010) (panel organizer & paper presentation of early draft).

*Promises Made to be Broken? Standstill Agreements in Change of Control Transactions*

- Louisiana Junior Faculty Forum (New Orleans, LA, November 4, 2011) (paper presentation).
- Central States Law Schools Association 2011 Annual Conference (Toledo, OH, October 29, 2011) (paper presentation of early draft).
- American Association of Law Schools Workshop on Women Rethinking Equality (Washington, D.C., June 22, 2011) (paper presentation of early draft).
- 2011 Midwest Corporate Law Scholars Conference (Columbus, OH, June 15, 2011) (paper presentation of early draft).
- Florida State University College of Law Workshop Series (Tallahassee, FL, September 30, 2010) (paper presentation of early draft).
- The Changing Face of Mergers and Acquisitions Panel, Annual Meeting of the Southeastern Association of Law Schools (Palm Beach, FL, August 2, 2010) (panel organizer & paper presentation of early draft).

*Rethinking Contractual Limits on Fiduciary Duties*

- New Scholars Colloquia for Business Law, Annual Meeting of the Southeastern Association of Law Schools (Palm Beach, FL, August 4, 2009) (paper presentation).

*Shopping During Extended Store Hours: From No Shops to Go-Shops – the Development, Effectiveness, and Implications of Go-Shop Provisions in Change of Control Transactions*

- Villanova University School of Law Faculty Workshop (Villanova, PA, September 28, 2007) (paper presentation).

***Business Law Speaking Engagements***

*Corporate Law at a Crossroad*, University of Wisconsin-Madison (Madison, WI, October 20, 2023) (paper commentator for Professor Geeyoung Min’s paper, *Shareholder Proposals: Governance by Referendum*).

*Institutionalism & the Philosophy of Law III*, World Interdisciplinary Network for Institutional Research (WINIR) Conference (Catania, Sicily, September 21, 2023) (chair).

*Increasing Diversity in Corporate Governance*, The Law and Society Association 2023 Annual Meeting (San Juan, Puerto Rico, June 2, 2023) (organizer and discussion group participant).

*Corporate Governance*, The Law and Society Association 2023 Annual Meeting (San Juan, Puerto Rico, June 1, 2023) (chair).

[Rethinking Securities Law](#), SMU Dedman School of Law (virtual, September 28, 2022) (panelist commenting on Marc Steinberg’s RETHINKING SECURITIES LAW book).

*Present and Future of Corporations in Society*, The Law and Society Association 2022 Annual Meeting (Lisbon, Portugal, July 16, 2022) (chair).

*Investors and Contemporary Corporations*, The Law and Society Association 2022 Annual Meeting (Lisbon, Portugal, July 16, 2022) (chair).

*The Corporate Form and Society*, Scuola Superiore Sant’Anna (Pisa, Italy, July 8, 2022) (discussant).

*Mergers & Acquisitions*, National Business Law Scholars Conference (Norman, OK, July 17, 2022) (commentator).

Author-Meets-Readers, CHRISTOPHER M. BRUNER, THE CORPORATION AS TECHNOLOGY: RE-CALIBRATING CORPORATE GOVERNANCE FOR A SUSTAINABLE FUTURE (Oxford University Press 2022), National Business Law Scholars Conference (Norman, OK, July 16, 2022) (commentator).

*“Contract Creep”*: *The Legal Treatment of Business Entity Organic Documents as Contracts*, Annual Meeting of the Southeastern Association of Law Schools (Amelia Island, FL, July 30, 2021) (discussion group participant).

*AALS Section on Business Associations: New Voices in Business Law* (virtual, January 5, 2021) (paper commentator for Professor Paige Wilson’s paper, *Midwest Venture Capital*).

*The Next Article*, Annual Meeting of the Southeastern Association of Law Schools (Boca Raton, FL, July 31, 2019) (discussion group discussant).

*Emerging Issues in Corporate Governance and Compliance*, The Law and Society Association 2019 Annual Meeting (Washington, D.C., May 31, 2019) (discussant).

*Mergers & Acquisitions as a Complex Contract* Roundtable, The Law and Society Association 2017 Annual Meeting (Mexico City, Mexico, June 22, 2017) (organizer; roundtable participant).

*Mergers & Acquisitions*, National Business Law Scholars Conference (Salt Lake City, UT, June 9, 2017) (moderator).

*What is the Role of Trust in the Marketplace?*, Annual Meeting of the Southeastern Association of Law Schools (Amelia Island, FL, August 7, 2014) (discussion group participant).

*Corporate Governance and the Locust of Power*, The Law and Society Association 2014 Annual Meeting (Minneapolis, MN, May 30, 2014) (discussant/paper commentator).

*Money & Markets*, The Law and Society Association 2013 Annual Meeting (Boston, MA, May 31, 2013) (discussant/paper commentator).

Emerging Legal Scholarship: The Teaching Fellows Inaugural Conference (New Orleans, LA, March 25, 2011) (panel moderator).

### ***Diversity, Equity, & Inclusion-Related Panels***

*Civil Discourse in the University Community: Talking About Race and Ethnicity*, LSU’s Office of Diversity Chat and Chew Series (Baton Rouge, LA, November 29, 2016) (moderator and discussant).

*Civil Discourse in the Law Center Community: Talking About Gender*, LSU Law Center (Baton Rouge, LA, October 17, 2016) (panel moderator).

### ***Academic Life-Related Panels***

*Transactional Law and Finance: Challenges and Opportunities for Teaching and Research*, American Association of Law Schools 2019 Annual Meeting (New Orleans, LA, January 4, 2019) (moderator).

*Self-Promotion in the Mode of Abraham Lincoln-Not P.T. Barnum*, Annual Meeting of the Southeastern Association of Law Schools (Palm Beach, FL, August 8, 2013) (panelist).

*Navigating Academic Politics*, Junior Faculty Challenges Panel, Annual Meeting of the Southeastern Association of Law Schools (Hilton Head, SC, July 26, 2011) (panelist).

### ***Guest Teaching Appearances***

*Harnessing the Collective Power of Retail Investors*, Corporate Governance Seminar, University of Maryland (virtual, February 13, 2024).

*Harnessing the Collective Power of Retail Investors*, Corporate Governance Class, University of Arkansas School of Law (virtual, September 14, 2023).

*GameStop and the Rise of Retail Investors in the United States*, Legal English/Introduction to U.S. Corporate Law – Commercial Law Specialization 2021, Universidad de los Andes, Bogotá, Colombia (virtual, June 29, 2021).

*GameStop and Corporate Governance Gaming*, Business Organizations Class, UNLV William S. Boyd School of Law (virtual, April 26, 2021).

***Other Speaking Appearances***

The Clark Hill Endowed Speaker in Business Law, SMU Dedman School of Law - The Rowling Center for Business Law & Leadership (February 22, 2024) (interviewer).

***Continuing Legal Education***

*A Primer on Oil and Gas Interests as Securities under Federal and State Law*, Louisiana Mineral Law Institute (recorded webinar, September 11, 2020).

**ACADEMIC & OTHER PROFESSIONAL HONORS**

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Fellow, American Bar Foundation

**REFEREE ACTIVITY**

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*Yale Law Journal*

*Harvard Law Review*

*Jurimetrics, The Journal of Law, Science, and Technology*

**LAW SCHOOL AND UNIVERSITY SERVICE**

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***Service on Standing Faculty Committees at SMU Dedman School of Law***

Member, Endowed Lectures & Faculty Forum Committee (Fall 2023 – Spring 2024)

***Service on Ad Hoc Faculty Committees at SMU Dedman School of Law***

Member, ABA Assessment Committee (Fall 2023 – Spring 2024)

Member, Promotion & Tenure Subcommittees (Fall 2023 – Spring 2024)

***Other Institutional Service at SMU Dedman School of Law***

Faculty Advisory Board, Robert B. Rowling Center for Business Law & Leadership (Fall 2023 – present)

***Service on Standing Faculty Committees at Louisiana State University Paul M. Hebert Law Center***

Chair, Faculty Scholarship Committee (Fall 2022 – Spring 2023)

Chair, Faculty Appointments Committee (Summer 2021 – Spring 2022)

Chair, Executive Committee (Summer 2021 – Spring 2022) (elected)

Chair, Faculty Appointments & Adjuncts Committee (Summer 2020 – Spring 2021)

Co-Chair, Faculty Appointments Committee (Fall 2019 – Spring 2020)

Chair, Student Educational Policies Committee (Fall 2018 – Spring 2021; Fall 2014 – Spring 2015)

Chair, Diversity & Professionalism Committee (Fall 2015 – Spring 2017)

Chair, Legal Writing Program & Fellowships Committee (Chair, Fall 2010 – Spring 2014)

Member, Faculty Appointments Committee (Fall 2022 – Spring 2023; Fall 2015 – Spring 2017; Fall 2009 – Spring 2012)

Member, Faculty Scholarship Committee (Fall 2011 – Spring 2014)  
Member, Promotion & Tenure Committee (Spring 2021 – Fall 2022) (elected 2-year term)  
Member, Executive Committee (Summer 2020 – Spring 2021; Summer 2015 – Spring 2017) (elected 2-year terms)  
Member, Student Educational Policies Committee (Fall 2012 – Spring 2013)  
Member, Diversity & Professionalism Committee (Fall 2018 – Spring 2019)  
Member, Legal Writing Program and Academic Success Committee (Fall 2014 – Spring 2015)  
Member, Legal Writing Program & Fellowships Committee (Fall 2009 – Spring 2010)  
Member, New Forms of Scholarship Committee (Fall 2009 – Spring 2010)  
Member, Pro Bono & Student Activities Committee (Fall 2008 – Spring 2009)  
Member, Southern Association of Colleges and Schools (SACS) Quality Enhancement Plan Committee (Fall 2012 – Spring 2014)

***Service on Special Committees & Working Groups at Louisiana State University Paul M. Hebert Law Center***

Member, Masking and Social Distancing Protocols Working Group (Summer 2020)  
Member, Attendance Policy Working Group (Summer 2020)  
Member, Promotion & Tenure Subcommittees (Fall 2021, Fall 2016)  
Member, Diversity Task Force (Fall 2014 – Summer 2015)  
Member, Ad Hoc Working Group: Legal Writing Program and Fellowships (Summer 2009)

***Service on ABA Self Study Long-Range Planning Subcommittees at Louisiana State University Paul M. Hebert Law Center***

Member, Strategic Planning Subcommittee for Information Resources, Equipment, Facilities, and Technology (Fall 2015 – Spring 2017)  
Member, Legal Research & Writing Long Range Planning Subcommittee (Fall 2008 – Spring 2010)  
Member, Clinical, Experiential Learning & Skills Long Range Planning Subcommittee (Fall 2008 – Spring 2010)

***Other Institutional Service at Louisiana State University Paul M. Hebert Law Center***

Faculty Advisor, Louisiana Business and Law Society (Fall 2021 – Spring 2023; Fall 2011 – Spring 2017)  
Faculty Advisor, Jaffe Transactional Law Invitational (Spring 2023; Spring 2022; Spring 2021)  
Faculty Liaison, Women’s Law Student Association (Fall 2021 – Spring 2022)  
Faculty Advisor, Ruby R. Vale Interschool Corporate Moot Court Team (Spring 2012; Spring 2014)  
LSU Representative to the Louisiana Junior Faculty Forum Planning Group (Fall 2009 – Spring 2014)

***Service at Louisiana State University***

Vice Chair, LSU Faculty Senate Faculty Adjudication Committee (2020 – 2022) (elected Vice Chair)  
Member, LSU Faculty Senate Faculty Adjudication Committee (2019 – 2020) (elected)  
Member, Academic Diversity Representatives Committee (Fall 2015 – Spring 2017)

## **PROFESSIONAL SERVICE**

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Louisiana Law Institute, Corporations Committee (Summer 2021 – Spring 2023)

- Drafted a revised Limited Liability Company statute for Louisiana

American Association of Law Schools (AALS)

- AALS Section on Business Associations
  - Executive Committee (2022 – present)
- AALS Section on Transactional Law and Skills
  - Chair (2018); Chair-Elect (2017)
  - Executive Committee (2014 – 2018)
- AALS Section on Women in Legal Education
  - Executive Advisory Board (Spring 2013 – Spring 2015)
  - Mentoring Committee (Spring 2013 – Spring 2018)
  - Creating/Growing Regional Networks Subcommittee, Louisiana/Mississippi Subcommittee Chair (Spring 2013 – Spring 2015)

Southeastern Association of Law Schools (SEALS)

- Hospitality Committee (Fall 2013 – present)
- Website, Technology & Communications Committee (Chair, Fall 2019 – Summer 2023; Fall 2010 – Fall 2019)
- Prospective Law Teachers Workshop Committee (Fall 2013 – Fall 2019)

Daughters of Themis: International Network of Female Business Scholars (2016 – present)

Outside Reviewer for Promotion and Tenure Applicants and Faculty Awards

- University of Oklahoma School of Law 2023
- University of South Carolina School of Law 2023
- Washington & Lee University School of Law 2021
- University of California, Davis School of Law 2021
- Washington & Lee University School of Law 2020
- Florida State University School of Law 2016

## **COMMUNITY INVOLVEMENT**

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Chair, LSU Press and *The Southern Review* Advisory Group (2022 – present)

Chair, Louisiana Appleseed Development Committee (2020 – 2023)

Member, Louisiana Appleseed Board of Directors (2019 – 2023)

Member, New Orleans Museum of Art, Young Fellows Steering Committee (Spring 2014 – Fall 2016)

## **BAR ADMISSIONS**

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New York State Bar (admitted 2003)

United States Court of Appeals for the Fourth Circuit

**EXPERT WORK**

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Expert deposition or trial testimony during the previous four years:

*Continental Holdings, Inc. v. Ball Corporation et al.*, Case No. 2021CV30699, Jefferson County, Colorado (expert report filed February 6, 2023; deposition taken February 13, 2023; trial testimony December 6, 2023)

*Dated: June 17, 2024*