



SMU[®]

Edwin L. Cox School of Business

Robert B. Rowling Center
for Business Law & Leadership
at Dedman School of Law

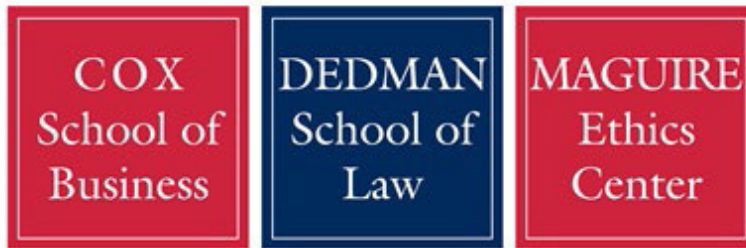
Cary M. Maguire Center for Ethics
and Public Responsibility



ETHICS & COMPLIANCE CERTIFICATE PROGRAM

September 7 - 8, 2022





Wednesday, September 7, 2022

- 9:00 a.m. - 9:15 a.m. **Opening Remarks**
Matthew Myers, Dean of the SMU Cox School of Business
- 9:15 a.m. - 10:30 a.m. **Internal Investigations and Due Diligence**
Brooke Hopkins, Managing Director, Alix Partners
Jamey Hamilton, Director, Alix Partners
- 10:30 a.m. - 10:45 a.m. **Break**
- 10:45 a.m. - 12:00 p.m. **Collin Street Bakery: Whistleblower Case Study**
J. Nicholas Bunch, Partner, Haynes and Boone
- 12:15 p.m. - 1:30 p.m. **Luncheon Keynote**
A conversation with David L. Peavler, Regional Director,
Securities Exchange Commission
Ranah Esmaili, Partner, Sidley Austin
- 1:45 p.m. - 2:45 p.m. **Technology and Compliance: Emerging Trends**
Carla Reyes, Assistant Professor, SMU Dedman School of Law
- 2:45 p.m. - 3:15 p.m. **Group Photo and Break**
- 3:15 p.m. - 4:30 p.m. **Incorporating Training and Ethical Culture into Your Ethics and Compliance Program**
Susan Bounds, Director, Compliance, AT&T
Matthew Solomon, Director, Compliance, AT&T
- 4:30 p.m. - 5:00 p.m. **Networking Reception**

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Thursday, September 8, 2022

9:00 a.m. - 9:15 a.m.

Opening Remarks

Rita Kirk, William F. May Endowed Director of the Maguire Ethics Center

9:15 a.m. - 10:30 a.m.

The Purpose of a Corporate Board: Navigating Shareholder vs. Stakeholder Capitalism

Shane Goodwin, Associate Dean, Graduate Programs & Executive Education and Professor of Practice, Department of Finance at the SMU Cox School of Business

10:30 a.m. - 11:00 a.m.

Break

11:00 a.m. - 12:15 p.m.

Ethics and Executive Practices

Maribeth Kuenzi, Director of the SMU Niemi Center for Economic Growth and Leadership Development

12:15 p.m. - 1:45 p.m.

Luncheon Keynote

A conversation with Laura O'Donnell, SVP and Chief Compliance Officer, McKesson

1:45 p.m. - 3:00 p.m.

Environmental Social and Governance in the Compliance Space

Brandy Treadway, Former CHRO and General Counsel, JCPenney
Bill Howell, Partner, Sidley Austin

3:00 p.m. - 3:30 p.m.

Break

3:30 p.m. - 4:45 p.m.

Data Privacy: How Does it Fit into an Effective Compliance Program

Eric Hinton, Director of the Rowling Center for Business Law & Leadership, SMU Dedman School of Law
Howard Hacker, Former Chief Compliance Officer, Tenet Healthcare
Jim Simatacolos, Managing Counsel - Data Privacy & Cybersecurity, Toyota North America
Christi Perri, Senior Manager, Office of Privacy, Toyota North America

4:45 p.m. - 5:00 p.m.

Final Remarks and Certificate Distribution

Eric Hinton, SMU Dedman School of Law

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2022 Ethics & Compliance Certificate Program

Edwin L. Cox School of Business
Robert B. Rowling Center for Business Law & Leadership at Dedman School of Law
Cary M. Maguire Center for Ethics and Public Responsibility

Wednesday, September 7 - Thursday, September 8, 2022

ABOUT

Organizational leaders face the constant challenge of making ethical choices in difficult times. The SMU Certificate in Ethics & Compliance delivers insight into how organizations respond - and should respond - to ethical dilemmas.

This multidisciplinary program features dynamic presentations, challenging dialogue, and interactive case discussions that strengthen the knowledge and skills needed to meet today's legal, compliance, internal audit, and corporate security challenges. Participants interact with peers from a variety of private and public organizations - and learn from academic, legal, and business experts on the critical role ethics and compliance plays in organizational success.

This program is a collaboration between SMU's Edwin L Cox School of Business, the Robert B. Rowling Center for Business Law & Leadership at the Dedman School of Law, and the Cary M. Maguire Center for Ethics and Public Responsibility.

For information on additional programming and events, please contact:

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for Business Law & Leadership**
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