

## COURSE FEES

### ONE-TIME, NONREFUNDABLE APPLICATION FEE – \$50

#### MAIN CAMPUS (DALLAS) PROGRAM

- Early registration fee – \$575 per course (enrollment 14 or more days in advance)
- Registration fee – \$675 per course
- Pacesetter Path registration fee – \$995 for two courses per term (savings of up to \$355!)
- SMU staff, faculty or alumni registration fee – \$575 per course

#### INTENSIVE, NINE-MONTH (PLANO) PROGRAM

- Program fee – \$4,495
- Early registration fee – \$3,995 (if received by December 2, 2011)

**CORPORATE PARTNER REGISTRATION FEE –**  
enjoy a 10 percent savings

**TSCPA MEMBER REGISTRATION FEE –**  
enjoy a 10 percent savings

## TEXTBOOKS

Course textbooks are not included in the registration fee and cost approximately \$125–150 per course.

Visit [smu.edu/cfp](http://smu.edu/cfp) for more information about textbooks.

## LOCATIONS

Courses are held at SMU's main campus in Dallas. The intensive, nine-month program is held at SMU-in-Plano. Please visit [smu.edu/cfp](http://smu.edu/cfp) for detailed maps.

## CONTINUING EDUCATION

- SMU is registered with the Texas State Board of Public Accountants as a CPE sponsor; sponsor ID# is 541. TSCPA CPE Foundation, Inc. is a co-sponsor. Each course in the SMU Program hosts 3.6 CEUs.



- For Insurance Agents: SMU is a registered provider of CE units for the Texas Department of Insurance; sponsor ID# is 32380.

The SMU Certificate Program in Financial Planning is registered with Certified Financial Planner Board of Standards, Inc. (CFP Board). As a CFP Board-registered program, our courses satisfy CFP Board's education requirement, allowing an individual to sit for the CFP® Certification Examination. The SMU Program Director is a CERTIFIED FINANCIAL PLANNER™ practitioner, and our instructors provide you with the most current information in financial planning.

Individuals can attain CFP® certification after fulfilling the education requirement, passing the comprehensive CFP® Certification Examination, demonstrating work experience and completing the ethics requirements. A CERTIFIED FINANCIAL PLANNER™ professional has attained financial planning's most prominent certification.

SMU does not certify individuals to use the CFP®, CERTIFIED FINANCIAL PLANNER™ and federally registered CFP (with flame logo) certification marks. CFP® certification is granted only by Certified Financial Planner Board of Standards, Inc. to those persons who, in addition to completing an educational requirement such as this CFP Board-registered program, have met its ethics, experience and examination requirements. Certified Financial Planner Board of Standards, Inc. owns the certification marks CFP®, CERTIFIED FINANCIAL PLANNER™ and federally registered CFP (with flame logo), which it awards to individuals who successfully complete initial and ongoing certification requirements.

*SMU will not discriminate on the basis of race, color, religion, national origin, sex, age, disability or veteran status. SMU's commitment to equal opportunity includes nondiscrimination on the basis of sexual orientation.*

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**SMU** | CONTINUING AND  
PROFESSIONAL EDUCATION

CERTIFICATE PROGRAM IN FINANCIAL PLANNING

Annette Caldwell Simmons  
School of Education and Human Development  
PO Box 750275  
Dallas TX 75275-0275  
[smu.edu/cfp](http://smu.edu/cfp)

Register online for  
an upcoming  
Information Session

*SMU students averaged 24 percent higher scores  
on a recent CFP® Certification Examination  
than the national average.*

*TSCPA CPE Foundation, Inc.  
is a co-sponsor.*



SMU  
CERTIFICATE PROGRAM  
in FINANCIAL PLANNING



## SMU AND CFP® CERTIFICATION: PLAN TO SUCCEED

The SMU Certificate Program in Financial Planning combines expert instructors, CFP Board-registered curriculum, flexible course schedules and three different pacing options to provide the premier Financial Planning educational program in North Texas. In less than one year, complete the required coursework toward obtaining the prestigious CFP® certification, and earn a certificate in Financial Planning from SMU.

## STRENGTHEN YOUR PROFESSIONAL NET WORTH

Market research indicates that the financial planning field is one of the fastest-growing professions in America. In fact, for several years now, *Jobs Rated Almanac* has named financial planning as one of the top-rated jobs in America. The U.S. Bureau of Labor Statistics predicts faster-than-average growth for financial planners through the next decade, as a better-educated, wealthier and longer-living population requires more investment advice and financial guidance.

## WHO SHOULD ATTEND?

Accountants  
Attorneys  
Bankers  
Financial planners  
Insurance agents  
Investment advisers  
Retirement plan and employee benefits specialists  
Stockbrokers  
Trust officers

## OBJECTIVES

- Perform comprehensive financial planning services with an emphasis on high-quality client service.
- Acquire the educational requirements needed to sit for the CFP® Certification Examination.
- Earn a certificate in Financial Planning from SMU.
- Course level: graduate/post-baccalaureate.

## COURSEWORK

Each main campus (Dallas) course meets for three hours once a week in the evenings for 11 weeks. You should be able to complete the entire program in approximately 21 months by taking one class each week, or in as little as one year by taking two classes each week on the Pacesetter Path. Intensive, nine-month (Plano) courses meet on Tuesday evenings and alternating Saturdays, from mid-January through mid-September.

You may transfer coursework from another CFP Board-registered program in which a grade of B or higher was achieved. Requests must be made in writing to the director, and an official transcript of record from your school must be sent along with course descriptions from the school's catalog. Final approval will be made by the director. You must complete at least 50 percent of your coursework in the SMU Program (including the capstone course: Financial Planning Strategies & Case Studies), exclusive of transfer credit.

214-SMU-CPFP (768-2737)  
SMU.EDU/CFP

## GET STARTED

### Choose a starting date.

Students may join the SMU Program in January or September.

### Choose a pacing option.

Beginning each January at the SMU-in-Plano campus –  
Intensive Path: Intensive, 6-week courses that meet twice weekly over nine-months

Beginning in September at the main campus in Dallas –

Traditional Path: One course per quarter

Pacesetter Path: Two courses per quarter

For an overview of the pacing options visit [smu.edu/cfp](http://smu.edu/cfp).

### Enroll in a free Information Session.

Offered throughout the year, these sessions are packed with information about the SMU Program and the CFP® certification process. Enroll online at [smu.edu/cfp](http://smu.edu/cfp), call 214-SMU-CPFP (214-768-2737) or e-mail [cpfp@smu.edu](mailto:cpfp@smu.edu).

### Complete the program application.

Visit [smu.edu/cfp](http://smu.edu/cfp) to download an application today.

### Register for class.

Students may enroll online at [smu.edu/cfp](http://smu.edu/cfp), at the Information Sessions, via phone or via fax. Traditional and Intensive Path students begin the program with Course 101; Pacesetter Path students begin with 101 and 103.



## COURSES IN THE SMU PROGRAM

- 101 Fundamentals of Financial Planning
- 102 Insurance and Risk Management
- 103 Investments
- 104 Retirement Planning & Employee Benefits
- 105 Tax Planning
- 106 Estate Planning
- 107 Financial Planning Strategies & Case Studies\*

*Courses are offered in alternating quarters; even-numbered courses in the summer and winter terms, odd-numbered in the spring and fall. For course schedules, please visit [smu.edu/cfp](http://smu.edu/cfp).*

*\*Offered each term based on demand.*

## CAREER CHANGERS WELCOME

The SMU Certificate Program in Financial Planning has participants from a variety of employment backgrounds. Those with little to no experience in financial services are quite welcome in the more flexible paced, main campus program. The intensive Plano cohort offers a faster-paced format and is designed for those working in the financial industry or with financial experience. A segment is included at the conclusion of our semi-annual Information Sessions to discuss concerns specific to career changers, and other informative resources are available for researching the field of financial planning.

## EXPERIENCED INSTRUCTORS

All courses are taught by instructors with extensive professional experience. All instructors have the CFP® certification, an advanced academic degree (MBA, JD, etc.) or other appropriate professional licenses or designations (CPA, CFA, etc.). Instructors use the financial planning topics as outlined by the Certified Financial Planner Board of Standards, Inc. as a framework for teaching the courses.

The course curriculum was developed by integrating the CFP Board's required topics list, traditional textbook curriculum and a well-known CFP® Certification Examination text. The result is a program that combines sound financial planning principles, theory and strategy with practical skills development and implementation. Please visit [smu.edu/cfp](http://smu.edu/cfp) for more information about our instructors.

## PREREQUISITES

All registrants must have earned a Bachelor's degree before beginning the program. No particular major or emphasis is required. The CFP Board requires candidates for CFP® certification to hold a Bachelor's degree before they receive the CFP® certification.

Students are required to attend an orientation session during their first quarter of the program. Students have four years to matriculate in the SMU Program. The intensive, nine-month Plano program is designed for those working in the financial industry or with financial experience.

## FINANCIAL AID

Smart Option Student Loans are now available from Sallie Mae. Visit [smu.edu/cfp](http://smu.edu/cfp) for more information.

## WEB-DELIVERED – SMU CERTIFICATE PROGRAM *in* FINANCIAL PLANNING

Coming soon! The Web-Delivered SMU Certificate Program in Financial Planning (live online, instructor-led) is a fully interactive financial planning program designed to meet the education requirements for the CFP® Certification Examination. Visit [smu.edu/cfp-online](http://smu.edu/cfp-online) for more information.

### Key program benefits

- Web-delivered live online
- Instructor-led
- All live lectures are recorded and available at any time
- Accelerated program, can be completed in nine months